

12-17-01

Docket No. 102250-100

A

JC962 U.S. PTO  
12/13/01

**CERTIFICATION UNDER 37 CFR §1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date December 13, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL662116795US to U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

\_\_\_\_\_  
William A. Simons  
Printed Name of Person Mailing Paper

\_\_\_\_\_  
Signature of Person Mailing Paper

JC832 U.S. PTO  
10/016173  
12/13/01

**APPLICATION TRANSMITTAL**  
**UNDER 37 CFR §1.53(b) or 37 CFR §1.153**

**U.S. PATENT AND TRADEMARK OFFICE**  
**P.O. BOX 2327**  
**ARLINGTON, VA 22202**

Dear Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) or 37 CFR §1.153 is the patent application of:

Inventor(s): Kevin Barry Ray  
Anthony Paul Kitson

For: PROCESS FOR MAKING A TWO LAYER THERMAL  
NEGATIVE PLATE

1. Type of Application:

- ☒ Original ☐ Design ☐ Continuation  
☐ Divisional ☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. §119)

- ☐ The new application being transmitted herein claims the benefit of:  
☐ prior Foreign Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_;  
or  
☐ PCT International Application designating at least one country other than the United States with the Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. §120)

- ☐ The new application being transmitted herein claims the benefit of prior copending U.S. application(s), U.S. Serial No.: \_\_\_\_\_, filed on \_\_\_\_\_.

4. Papers Enclosed Which are Required for Filing Under 37 CFR §1.53(b) or 37 CFR §1.153

24 page(s) of Specification

4 page(s) of Claims, having 18 total claims, including 3 independent claims and 15 dependent claims.

1 page(s) of Abstract

sheets of ☐ formal ☐ informal Drawings5. Additional Papers Enclosed

- ☒ Return Receipt Postcard  
☐ Preliminary Amendment  
☐ Information Disclosure Statement  
☐ Form PTO-1449  
☐ Copies of Cited Art  
☐ Other:

6. Inventor's Declaration/Power of Attorney

An Inventor's Declaration/Power of Attorney is ☒ enclosed or ☐ not enclosed,  
and ☒ is signed or ☐ not signed.

7. Assignment

- ☒ Enclosed is a recordation form and an assignment of the invention to Kodak Polychrome Graphics LLC, a corporation of the State of Connecticut.

8. Small Entity Status

☐ Applicant claims Small Entity Status.

9. Fee Calculation (37 CFR §1.16)

CLAIMS AS FILED					
NUMBER FILED			NUMBER EXTRA	RATE	BASIC FEE
TOTAL CLAIMS			18	-20	-2
INDEPENDENT CLAIMS			3	-3	0
MULTIPLE DEPENDENT CLAIMS, if any					
TOTAL					
REDUCTION FOR SMALL ENTITY BY 50%					
TOTAL FILING FEE					
DESIGN APPLICATION FEE					
REDUCTION FOR SMALL ENTITY BY 50%					
TOTAL FILING FEE					

10. Method of Payment of Fees

- ☐ Check in the amount of .
- ☒ Charge Account No. 23-1665 in the amount of \$740.00.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR §§ 1.16, 1.17, 1.18, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to Customer Number:



27267

PATENT TRADEMARK OFFICE

Respectfully Submitted,  
Kevin Barry Ray, et al.

Date: December 13, 2001

William A. Simons  
Reg. No. 27,096  
WIGGIN & DANA LLP  
One Century Tower  
New Haven, CT 06508-1832

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